

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	740819-703
First Inventor	Yoshiaki HASEGAWA et al.
Title	METHOD FOR MANUFACTURING SEMICONDUCTOR AND METHOD FOR MANUFACTURING SEMICONDUCTOR DEVICE
Express Mail Label No. _____	

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Japanese Language Specification [Total Pages 28]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (*if filed*)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 13]
5. Oath or Declaration [Total Pages 3]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. Application Data Sheet. See 37 CFR 1.76

Assistant Commissioner for Patents
ADDRESS TO: Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies; or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of Attorney
(when there is an assignee)
11. English Translation Document (*if applicable*)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Nonpublication request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP)

of prior application No.: _____ / _____

Prior application information:

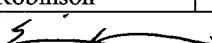
Examiner _____

Group / Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	22204 <i>(Insert Customer No. or Attach bar code label here)</i>	or	<input checked="" type="checkbox"/> Correspondence address below
Name	Eric J. Robinson		
Address	NIXON PEABODY LLP 8180 Greensboro Drive, Suite 800		
City	McLean	State	VA
Country	United States	Telephone	(703) 790-9110
Zip Code			22102
Fax			(703) 883-0370

Name (Print/Type)	Eric J. Robinson	Registration No. (Attorney/Agent)	38,285
Signature			Date
			November 26, 2001

FEE TRANSMITTAL FOR FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT	\$780.00
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<i>Complete if Known</i>	
Application Number	
Filing Date	November 26, 2001
First Named Inventor	Yoshiaki HASEGAWA et al.
Examiner Name	
Group Art Unit	
Attorney Docket No.	740819-703

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-2380

Deposit Account Name Nixon Peabody LLP

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit Card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	(S)	
101	740	201	370
106	330	206	165
107	510	207	255
108	740	208	370
114	160	214	80
SUBTOTAL (1)			\$740.00

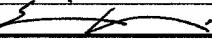
2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims			
Multiple Dependent			

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	(S)	
103	18	203	9
102	84	202	42
104	280	204	140
109	84	209	42
110	18	210	9
SUBTOTAL (2)			\$

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)					
3. ADDITIONAL FEES					
	Large Entity	Small Entity			
	Fee Code	Fee Code	Fee	Fee	Fee Description
	(S)	(S)	(\$)	(\$)	
105	130	205	65	65	Surcharge – late filing fee or oath
127	50	227	25	25	Surcharge – late provisional filing fee or cover sheet
139	130	139	130	130	Non-English transaction
147	2,520	147	2,520	2,520	For filing a request for <i>ex parte</i> reexamination
112	920*	112	920*	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	55	Extension for reply within first month
116	400	200	200	200	Extension for reply within second month
117	920	460	460	460	Extension for reply within third month
118	1,440	720	720	720	Extension for reply within fourth month
128	1,960	228	980	980	Extension for reply within fifth month
119	320	219	160	160	Notice of Appeal
120	320	220	160	160	Filing a brief in support of an appeal
121	280	221	140	140	Request for oral hearing
138	1,510	138	1,510	1,510	Petition to institute a public use proceeding
140	110	240	55	55	Petition to revive – unavoidable
141	1,280	241	640	640	Petition to revive – unintentional
142	1,280	242	640	640	Utility issue fee (or reissue)
143	460	243	230	230	Design issue fee
144	620	244	310	310	Plant issue fee
122	130	122	130	130	Petitions to the Commissioner
123	50	123	50	50	Processing fee under 37 CR 1.17(q)
126	180	126	180	180	Submission of Information Disclosure Stmt
581	40	581	40	40	Recording each patent assignment per property (times number of properties)
146	740	246	370	370	Filing a submission after final rejection (37 CFR § 1.129(a))
149	740	249	370	370	For each additional invention to be examined (37 CFR § 1.29(b))
179	740	279	370	370	Request for Continued Examination (RCE)
169	900	169	900	900	Request for expedited examination of a design application
Other fee (specify) _____					
* Reduced by Basic Filing Fee Paid			SUBTOTAL (3)	\$40.00	

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Eric J. Robinson	Registration No. (Attorney/Agent)	38,285	Telephone (703) 790-9110
Signature		Date	November 26, 2001	